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### BY FACSIMILE AND MAIL

June 23, 2005

International Preliminary Examining Authority  
European Patent Office  
D-80298 Munich  
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Attention: Bunn, D.

Dear Sirs/Mesdames:

Re: PCT Patent Application No. PCT/CA2004/000577  
Int'l Filing Date: April 19, 2004  
Title: SWING BOOM PIVOT MECHANISM  
Applicant: Silvatech Global Systems Ltd., et al.  
Inventors: Gerald Dyck; Paul Dries; and Brent Snipstead  
Priority: United States Patent Application no. 60/463,349 filed April 17, 2003  
Our Ref: 2252-105

Regarding amendments made herein under Article 34, Claim 1 has been amended. Claims 3 and 4 have been cancelled. Claims 2 and 5-9 are unchanged and renumbered 3-7.

Comparing all of the amendments in present Claim 1 with the specification and drawings originally filed support is evidenced as follows:

- (b) a lower pivot shaft (44) rotatably mounted on said fixed base;

This element is shown in Fig. 1, and on page 2, lines 14 and 17. The lower pivot shaft is said to pass through the lower spherical bearing with the outer race of the latter rigidly attached to the fixed base. This means that since the lower pivot shaft rotates with respect to its outer race, it rotates with respect to the fixed base.

- (c) a pivot base (46) mounted on said lower pivot shaft (44) at a lower end thereof a distance from said fixed base (52) sufficient only to clear said fixed base during rotation;

The pivot base is shown in Figs. 5B, 6, 7 & 8 and on page 5, line 20 and on page 6, lines 1 and 6. Figs. 1 & 6 show a lower pivot shaft 44, fitted inside the lower spherical bearing 36 and the pivot base (22,46) mounted to the upper end of the lower pivot shaft. There is no support for the words (a distance from....during rotation) and accordingly claim 1 has been amended to delete this phrase.

- (d) a swivel actuator (38) coupled to said lower pivot shaft (44) and operative to drive said lower pivot shaft (44) in either of two rotational directions relative to said fixed base (52);

The swivel actuator is disclosed in Fig. 1 as well as on page 2, lines 5 and 9. It is said to control the amount of horizontal rotation of the pivot base 22 and boom 28 about the vertical axis. Applicant has amended this element to delete the words "...in either of two rotational directions..." and substitute therefor the words - horizontally through a selectable amount of rotation--. It is submitted that page 2 clearly supports the amended element (d).

- (e) a boom (14) pivotally coupled to said pivot base (46) proximate a lower end thereof and having a hydraulic piston cylinder (58) coupled between said boom (14) and an upper end of said pivot base (46) and operative to raise and lower said boom (14);

The boom is disclosed in Figs. 5A, & 5B and on page 5, lines 12-19. Vertical pivotal movement of the boom relative to the pivot base is described in lines 21 and 22.

The term "spherical" has been re-introduced into (iii) as it was inadvertently removed in the last amendment.

Claims 3 and 4 have been deleted by this amendment. Claim 5 is the original claim 5. Claim 6 is supported by the sentence on page 2, lines 13-15. Similarly, claim 8 is supported by the sentence on page 2, lines 18 to 20. Claim 9 is a composite of claims 3 and 8 and is supported by the same portions of the specification that support claims 3

and 8. The remaining claims were present in the original application.

Yours truly

VERMETTE & CO.

*per: [Signature]*  
Clifford W. Vermette

CWV/dbh

encl.

## SWING BOOM PIVOT MECHANISM

### FIELD

The present invention relates to an improved attachment arrangement for mounting a pivot base to the boom of a Swing Boom Assembly used on a skidder.

### BACKGROUND OF THE INVENTION

Skidders are used in the forest industry to retrieve and load felled trees. Most consist of a prime mover, and a grapple attached to the end of a boom. Booms are typically mounted to the prime mover through a base allowing movement in a vertical plane. Side to side movement is accomplished through movement of the prime mover. Added maneuverability can be obtained by adding a pivoting base to which the boom is attached allowing the boom to swing about a vertical axis relative to the prime mover. This type of boom is commonly referred to as a Swing Boom Assembly.

The method of mounting the pivot to the base and boom becomes critical to prevent overloading of components. The boom assembly includes the boom, boom cylinder and grapple.

Referring to Figures 1-4, a conventional method of attaching the main body 30 of a pivot base 22 to the boom assembly 32 of a swing boom 11 is shown. A horizontal pin 24 and boom cylinder 26 connect the boom 28 to the pivot base 22. The extension of the boom cylinder 26 controls the amount of vertical motion of the boom 28.

The pivot base 22 further comprises an upper spherical bearing 34, ~~lower spherical bearing 36, and a swivel actuator 38.~~ The upper spherical bearing 34, ~~and lower spherical~~

bearing ~~36~~ permit the main body 30 of the pivot base 22 to rotate about a vertical axis relative to the fixed base 40. The swivel actuator ~~38~~ controls the amount of horizontal rotation of the pivot base ~~22~~ and boom ~~28~~ about the vertical axis.

As shown in Figure 1, conventional designs incorporate an upper pivot shaft 42, and lower pivot shaft ~~44~~ rigidly attached to the main body 30 of the pivot base 22. The upper pivot shaft 42 passes through the upper spherical bearing 34, and the lower pivot shaft ~~44~~ passes through the lower spherical bearing ~~36~~. The outer races of the upper and lower spherical bearings 34 and ~~36~~ are is rigidly attached to the fixed base 40.

As best shown in Figures 2-4, the upper pivot shaft 42 extends past the upper spherical bearing 34. A clevis joint ~~46~~ 47 is used to connect the boom cylinder 26 to the upper pivot shaft 42. The line of action of the boom cylinder 26 passes through the upper pivot shaft 42 above the upper spherical bearing 34 creating an overhung loading condition. Depending on the particular loading and position of the boom assembly 32 in the vertical plane, stresses due to the bending moment can become excessive, especially at the connection between the upper pivot shaft 42 and the main body 30 (see Figure 4). As a result, the conventional method of attachment can lead to cracking and failure of the components comprising the vertical axis of the pivot base under severe operating conditions. As such, there is a need for an improved attachment method for mounting the pivot base to the boom assembly.

U.S. Patent No. 4,015,728 issued to Barker et al.  
discloses a yoke having upper and lower vertically spaced  
clevis arms at the top and at the bottom of the yoke. A boom  
is attached to the yoke at the bottom and a hydraulic piston-  
5 cylinder is attached between the boom and a top of the yoke.  
A shaft passes through the upper and lower sets of clevis  
arms and spherical bearings are located on the shaft  
intermediate the arms of each set of clevis arms. The outer  
raceway of the bearings is mounted to two arms affixed to the  
10 vehicle frame. A hydraulic actuator is positioned in the  
yoke between the sets of clevis plates and around the shaft.  
Such a system is normally used in circumstances such as in  
mounting a boom to the rear of a tracked vehicle. However,  
when mounting a boom on a fixed base or platform, a different  
15 mounting assembly is required.

#### SUMMARY

The present invention relates to a swing boom assembly,  
which has a fixed base, a pivot base rotatably mounted on the  
20 fixed base, and a boom pivotally coupled to the pivot base.  
The boom is coupled proximate a lower end of the pivot base  
and has a hydraulic piston cylinder coupled between the boom  
and an upper end of the pivot base. The hydraulic piston  
cylinder is operative to raise and lower the boom. The pivot  
25 base has an upper shaft, a main body having a pair of spaced  
apart clevis plates affixed to each end of the upper shaft,  
wherein the upper shaft is axially aligned with the lower  
pivot shaft. ~~and a~~ A spherical bearing is rigidly mounted  
around the upper shaft between the clevis plates and ~~said the~~  
30 bearing having an outer race rigidly mounted to the fixed  
base.

The hydraulic piston cylinder may be pivotally coupled to the pivot base at a level intermediate the clevis plates.

5 The clevis plates are preferably integral with the main body.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Further features and advantages of the invention will be apparent from the following detailed description, given by  
10 way of a preferred embodiment taken in conjunction with the accompanying drawings, wherein:

Figure 1 is a vertical sectional view through the middle of the prior art conventional pivot base upper connection;

15 Figure 2 is a perspective view of the prior art conventional pivot base upper connection;

Figure 3 is a side view of the prior art main body of the pivot base and conventional upper joint;

Figure 4 is a sectional view along line 1-1 of Figure 5A;

20 Figure 5A is a perspective view of an improved Swing Boom Assembly in a neutral pivot position;

Figure 5B is a perspective view of an improved Swing Boom Assembly in a neutral pivot position;

25 Figure 6 is a vertical sectional view through the middle of the improved pivot base connection;

Figure 7 is a perspective view of the improved pivot base connection.

Figure 8 is a side view of the main body of the pivot base and improved upper line; and

30 Figure 9 is a sectional view along line 2-2 of Figure 8.

#### DETAILED DESCRIPTION

Referring to Figures 5A and 5B the movement of the main parts of a swing boom 13 are shown. A fixed base 52 is mounted rigidly to the prime mover (not shown). The boom assembly 60 is attached to the pivot base 46. The boom assembly 60 includes the boom 59, boom cylinder 58, and grapple (not shown). The grapple attaches to the boom 59 at the grapple attachment point 16. The pivot base 46 rotates from side to side as shown by double sided arrow 20 in Figure 5A. The pivot base 46 is shown rotated counterclockwise in Figure 5B. The extension of the boom cylinder 58 controls the amount of vertical motion of the boom 59. The boom 59 may move in a horizontal plane with the movement of the pivot base 46 as shown by arrows 20, as well as a vertical plane as illustrated by arrows 21 in Figure 5B.

Referring to Figures 6-9, an improved design for the attachment of the main body 54 of a pivot base 46 to the boom assembly 60 is mounted to the main body 54 of the pivot base 46. The pivot base 46 is modified from the conventional method described in connection with Figures 2-5 at the upper pivot shaft 48. Similar to the conventional method, the outer race of the upper spherical bearing 50 is rigidly mounted to the fixed base 52. However, the main body 54 of the pivot base 46 is extended forming an integral clevis around the upper spherical bearing 50, and both ends of the upper pivot shaft 48 are rigidly mounted to the main body 54 (see Figure 9). Advantageously, the new geometry substantially reduces the bending moment on the upper pivot shaft 48 relative to the main body 54, and resultant stresses in the upper pivot shaft 48.



Referring to Fig. 6, the pivot base 46 is rigidly affixed to lower pivot shaft 44 and the latter is coupled to a lower spherical bearing 36 at its bottom the outer race of which is rigidly affixed to the fixed base 52. A pinion gear 62 is positioned around the lower pivot shaft 44 immediately above the lower spherical bearing 36. A pair of rack gears 64 engage the pinion gear 62 on either side thereof and, in response to hydraulic pistons, drive the pinion gear rotationally.

Accordingly, while this invention has been described with reference to illustrative embodiments, this description is not intended to be construed in a limiting sense. Various modifications of the illustrative embodiments, as well as other embodiments of the invention, will be apparent to persons skilled in the art upon reference to the description. It is therefore contemplated that the appended claims will cover any such modifications or embodiments as fall within the true scope of the invention.

**WE CLAIM:**

1. A swing boom assembly, comprising:

- 5 (a) a fixed base (52);
- (b) a lower pivot shaft (44) rotatably mounted on said fixed base;
- 10 (c) a pivot base (46) mounted on said lower pivot shaft (44) at a lower end thereof a distance from said fixed base (52) sufficient only to clear said fixed base during rotation;
- 15 (d) a swivel actuator (38) coupled to said lower pivot shaft (44) and operative to drive said lower pivot shaft (44) in either of two rotational directions relative to said fixed base (52);
- 20 (e) a boom (14) pivotally coupled to said pivot base (46) proximate a lower end thereof and having a hydraulic piston cylinder (58) coupled between said boom (14) and an upper end of said pivot base (46) and operative to raise and lower said boom (14);
- 25 wherein said pivot base (46) has
  - (i) an upper shaft (48);
  - 30 (ii) a main body (54) having a pair of spaced apart clevis plates affixed to each end of said upper shaft (48), wherein said upper shaft is axially aligned with said lower pivot shaft;

(iii) a spherical bearing (50) rigidly mounted around said upper shaft (48) between said clevis plates and said bearing having an outer race rigidly mounted to said fixed base (52).

2. An assembly according to claim 1, wherein said hydraulic piston cylinder (58) is pivotally coupled to said pivot base (46) at a level proximate said clevis plates.

~~3. An assembly according to claim 1, wherein said swivel actuator (38) includes a pinion gear (62) affixed to said lower pivot shaft (44) and a pair of rack gears (64) driven hydraulically on either side of said pinion gear (62).~~

~~4. An assembly according to claim 1, wherein said upper pivot shaft (48) is removable.~~

53. An assembly according to claim 1, wherein said fixed base (52) is part of a skidder.

64. An assembly according to claim 1, wherein said pivot base (46) is rigidly affixed to a distal portion of said lower pivot shaft (44).

75. An assembly according to claim 1, wherein said clevis plates are integral with said main body (54).

86. An assembly according to claim 1, including a lower bearing (52) coupled to a lower portion of said shaft and having an outer race rigidly coupled to said fixed base (52).

97. The assembly of claim 1, including a bearing coupled to a lower portion of said lower pivot shaft (44) with an outer race rigidly coupled to said fixed base and said pivot base  
5 is rigidly affixed to said lower pivot shaft (44).

#### ABSTRACT

The present invention relates to a swing boom assembly, which has a fixed base, a pivot base rotatably mounted on the fixed base, and a boom pivotally coupled to the pivot base. The boom is coupled proximate a lower end of the pivot base and has a hydraulic piston cylinder coupled between the boom and an upper end of the pivot base. The hydraulic piston cylinder is operative to raise and lower the boom. The pivot base has an upper shaft, a main body having a pair of spaced apart clevis plates affixed to each end of the upper shaft, and a spherical bearing rigidly mounted around the upper shaft between the clevis plates and said bearing having an outer race rigidly mounted to the fixed base. By coupling the hydraulic piston cylinder to the main body of the pivot base rather than directly to an upper shaft,